

Capital Market Director Programme

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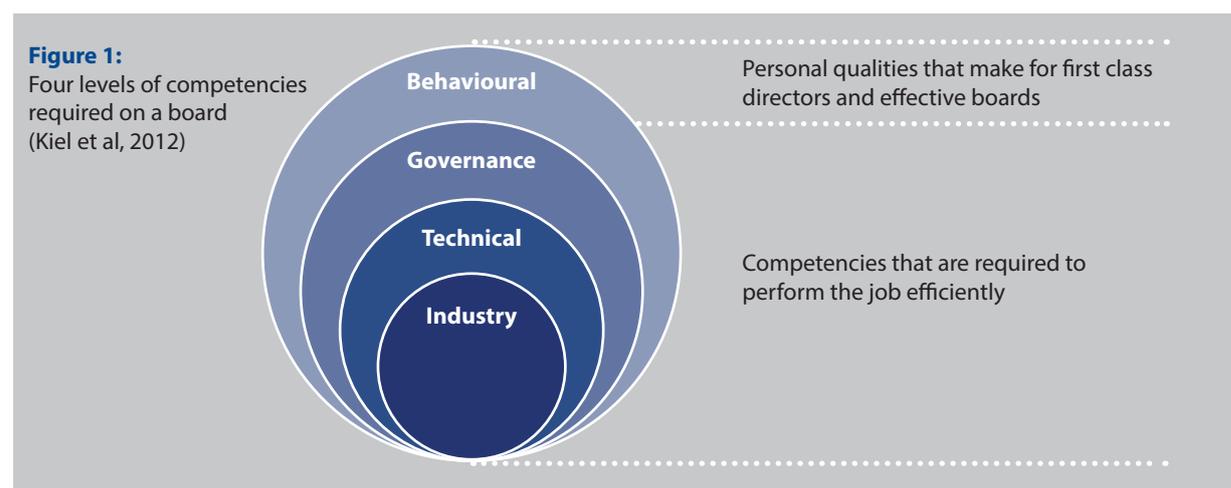
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Capital Market Director Programme

OVERVIEW

Licensed intermediary institutions play an important systemic role in the capital market eco-system. The growth or decline of the capital market is influenced by, among others, the success and failure of these institutions. Hence, the quality of leadership at these institutions is paramount. Kiel et al (2012) suggest four levels of competence required of a board.¹ They are as follows:

- **Industry** : Experience in and knowledge of the industry in which the organisation operates
- **Technical** : Technical/professional skills and specialist knowledge to assist with ongoing aspects of the board's role
- **Governance** : The essential governance knowledge and understanding all directors should possess or develop if they are to be effective board members
- **Behavioural** : The attributes and competencies enabling individual board members to use their knowledge and skills to function well as team members and to interact with key stakeholders



OBJECTIVE

The Capital Market Director Programme is an exclusive platform for directors (and other strategic personalities) of licensed intermediary institutions to be equipped with the relevant knowledge, skills and abilities (basic to intermediate level) to meet the four levels of competencies shown in Figure 1. The programme is also designed to allow directors and other participants to explore and deliberate on pertinent issues affecting the industry from multi-stakeholders' perspectives. The specific objectives of the programme are as follows:

- Equip members of the board with knowledge and skills to provide leadership and oversight of the running of the licensed intermediary institutions
- Assist directors of licensed intermediary institutions to be an effective member of the board through latest developments in regulations and challenges, and business and governance in the capital market
- Promote a thorough understanding of the role of the Securities Commission in regulating a dynamic and competitive capital market

This programme is intended to “strengthen intermediation standards and capabilities” as espoused by the Capital Market Masterplan 2 (CMP2).²

¹ Kiel, G., Nicholson, G., Tunny, J.A., and Beck, J. (2012) Directors at Work: A Practical Guide for Boards. Thomson Reuters: Sydney.

² Securities Commission Malaysia (2011) Capital Market Masterplan 2. Securities Commission, Kuala Lumpur.

Capital Market Director Programme (continued)

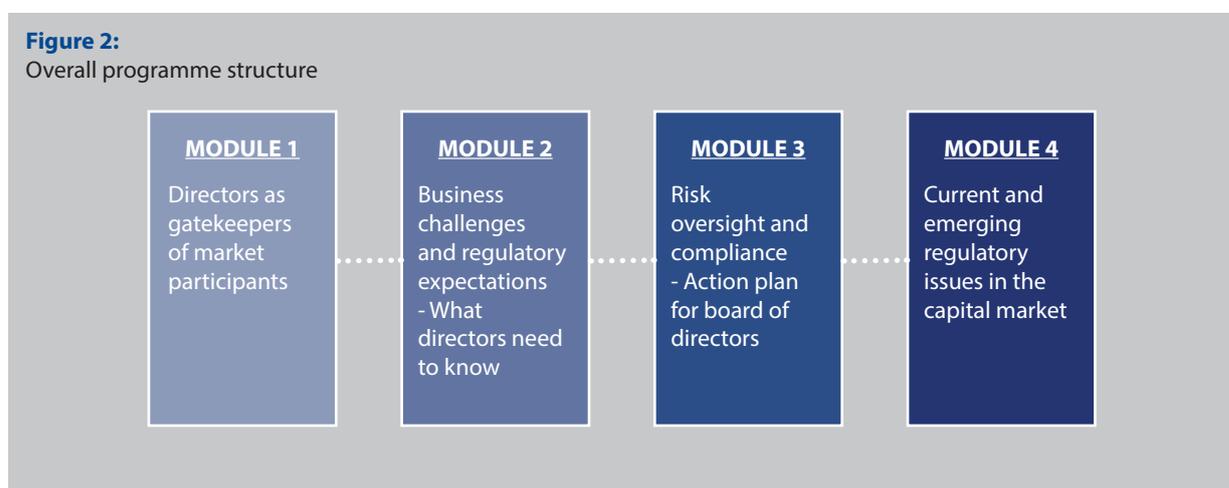
STRUCTURE

The salient features of the programme are:

- Modular programme comprising four modules (however, participants are encouraged to enrol as per the suggested sequence of the modules)
- Each module has three hours of contact and interaction time
- Use of experiential learning via facilitated discussion and reflective observation of concepts, case studies and real-life experiences
- Class size of between 20-25 participants

Figure 2:

Overall programme structure



The programme will leverage on case studies, local or foreign-based, for impact learning. The cases may include published case studies or cases based on enforcement actions taken by securities regulators.