

# PENERAJU PROFESIONAL:

Capital Markets Services Representative's  
Licence (CMSRL) in Corporate Finance  
and Investment Advice (**CCOFIA**) Programme



Join now to become a **CMSRL holder** in **CF** or **IA**

Supported by :

**CMDF**

Dana Pembangunan Pasaran Modal  
Capital Market Development Fund

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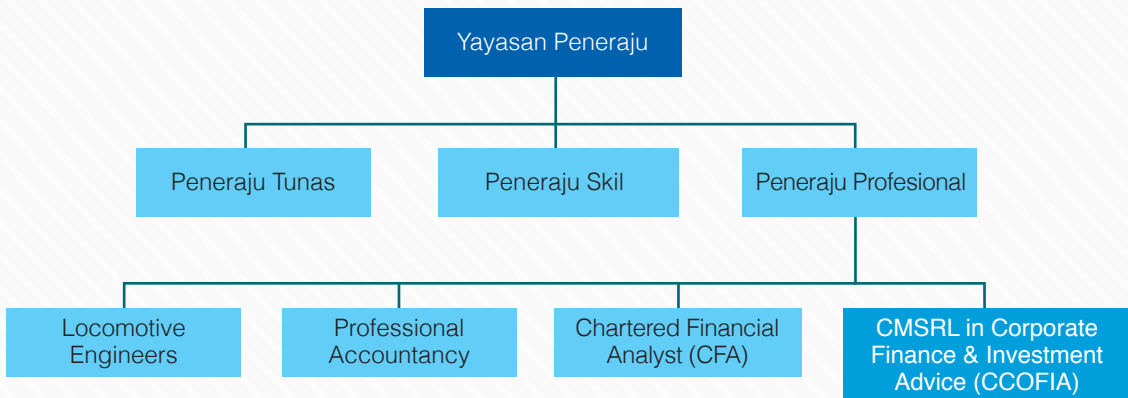
[www.sidc.com.my](http://www.sidc.com.my)

# About Yayasan Peneraju

Yayasan Peneraju is one of the initiatives under the Bumiputera Economic Transformation Roadmap (BET) launched by TERAJU which focuses on strengthening capacity building of Bumiputera. The objective of Yayasan Peneraju is to ensure that the capabilities and expertise of Bumiputera will continue to develop in order to succeed competitively at the global level.

Yayasan Peneraju has been given the mandate of increasing the quality, quantity and relevance of Bumiputera talents and enable them to participate in high-income jobs within Malaysia's economy.

One of Yayasan Peneraju's core thrusts is Peneraju Profesional, in which it focuses on increasing the number of Bumiputera graduates and workforce with professional certifications.



# About SIDC

SIDC is the leading capital market learning and development solutions provider for industry participants in Malaysia and the growth and emerging markets that include market professionals, company directors and regulators. Established in 1994 as the learning and development arm of the Securities Commission Malaysia (SC) and incorporated in 2007, SIDC has been a standard-bearer of quality and innovation for over two decades.

As the capital market industry's partner in learning and development, SIDC works hand in hand with the industry through consultations and collaborations to design, develop and deliver training programmes that raise the standard of participants and support market growth. At the same time, it provides strategic consulting services and industry insights, frequently partnering with government agencies and international organisations to carry out capacity building initiatives for market regulators and industry players.

# Programme Overview

The CCOFIA programme is an initiative by Yayasan Peneraju under the Peneraju Profesional thrust. Launched in 2015, it aims to establish a pool of qualified Bumiputera talents who are capable of discharging their functions and responsibilities effectively as CMSRL holders in the area of Corporate Finance (CF) and/or Investment Advice (IA). Throughout the programme, the participants will undergo comprehensive training programme

in order to become a licensed representative in CF or IA.

The CCOFIA programme is fully funded by Yayasan Peneraju and supported by the Capital Market Development Fund (CMDf). No fee will be imposed on participating organisations or its nominated participant(s).

During the programme, participants will undergo the following phases:

- 1 Career Advancement Programme (CAP)
- 2 SC Licensing Examinations (Modules 12 and 19)
- 3 Continuing Professional Education (CPE) Programmes
- 4 Performance Coaching

## Programme Objectives

1 To develop a pool of new Corporate Finance Executives and Research Analysts to meet industry demands



2 To develop licensed Bumiputera professionals in Corporate Finance and/or Investment Advice



3 To increase the number of high-income Bumiputera talents in the capital market industry, which is parallel to Malaysia's aspirations of becoming a high-income nation by year 2020



### Programme disclaimer :

All information in this booklet is correct as at February 2017. Yayasan Peneraju reserves the right to amend the programme as deemed relevant, without prior notice.

# Summary of the CCOFIA Programme



**START**

**Selection  
and Assessment  
Centre**



- Your application will be processed and screened to ensure you meet the minimum requirements
- Shortlisted participants will be contacted to attend the Assessment Centre
- During the Assessment Centre, participants will be assessed on technical as well as non-technical abilities

**CAP**

**PASS**



**Objective of Performance Coaching**  
 To continuously improve candidates' performance via personal coaching

**Objective of CAP**

To prepare participants for the SC Licensing Examinations

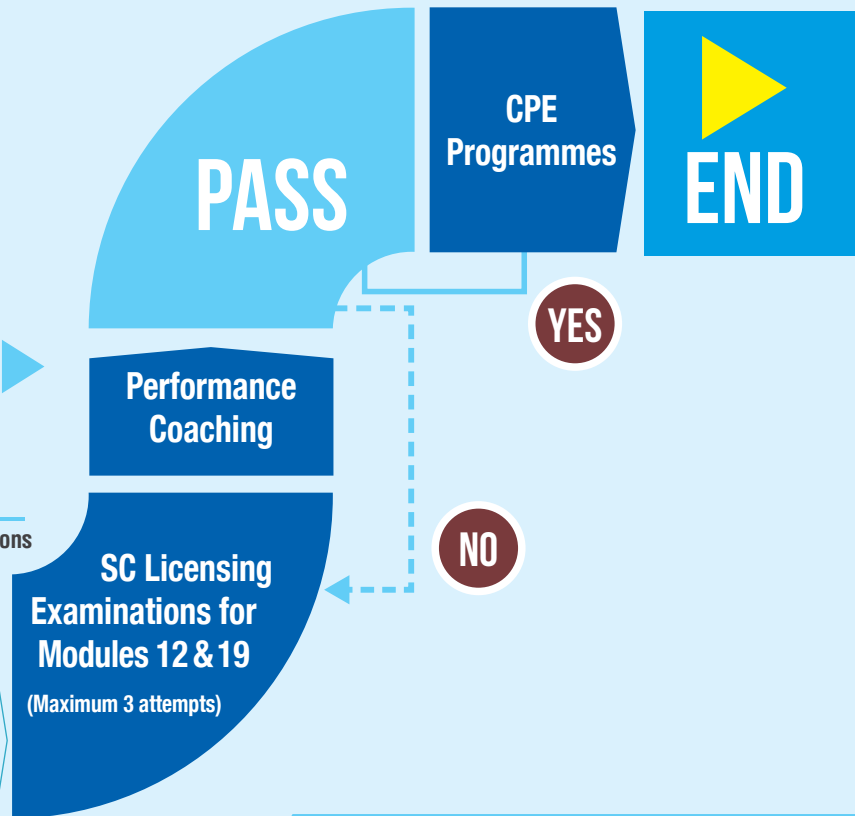
**CAP Structure\***

1. Preparatory classes for Module 12 and 19 comprise:
  - Tutorials
  - Mock Examinations
  - Examination Simulation
  - SC Licensing Examinations
2. All sessions will be held in Securities Commission Malaysia (SC) from 9.00am to 5.00pm
3. The CAP is customised according to participants' learning needs

\*please refer to the programme highlight sheet attached for further details on class schedule

**CPE Programmes**

Two (2) full-day CPE programmes (20 CPE Points)



## PROGRAMME REQUIREMENTS



- ✓ Bumiputera
- ✓ Aged between 21-40 years old
- ✓ Possess at least a Bachelor's degree in Finance/Economics/Banking or related disciplines
- ✓ Currently working with a Capital Markets Services Licence (CMSL) company and undertaking CF or IA regulated activities
- ✓ Fit and Proper as specified under section 65(1) of the Capital Markets & Services Act 2007 (CMSA)

## PROGRAMME BENEFITS



1. A comprehensive CAP, customised according to learning needs to prepare participants for the SC Licensing Examinations
2. Learning materials will be provided
3. SC Licensing Examinations for Modules 12 and 19 (maximum 3 attempts)
4. Performance coaching by identified coaches
5. Two (2) full-day CPE programmes (20 CPE points) to provide participants with up-to-date technical knowledge, skills and ethical standards

## HOW TO APPLY



1. Download the application form at [www.yayasanpeneraju.com.my](http://www.yayasanpeneraju.com.my) or [www.sidc.com.my](http://www.sidc.com.my)
2. Complete the required details within the application form
3. Submit the application form via fax to 03 6204 8298 or email to [sulhik@sidc.com.my](mailto:sulhik@sidc.com.my) (Sulhi Khalid)

F

A

Q

## FREQUENTLY ASKED QUESTIONS

# PROGRAMME OVERVIEW

1

### What is this programme about and what are its objectives?

The CCOFIA programme is one of Yayasan Peneraju's initiatives under the Peneraju Profesional thrust. The programme aims to develop a pool of licensed Bumiputera corporate finance executives and research analyst to meet industry demand. Throughout the programme, the participants will undergo comprehensive training programme in order to become a licensed representative in CF or IA.

2

### Is this a fully funded programme?

Yes, the CCOFIA programme is fully funded by Yayasan Peneraju and supported by the CMDF. No fee will be imposed on participating organisations or its nominated participant(s).

3

### Will programme participants receive an allowance?

No allowance will be given to the participants. However, they will be fully funded for the following:

- Customised CAP covering both Modules 12 and 19;
- Learning materials, i.e. study guide;
- SC Licensing Examinations fees (maximum three (3) attempts for each module);
- CPE Programme (up to two (2) full-day courses); and
- Performance coaching by identified coaches.

# PROGRAMME DETAILS

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### What is the timeframe for completing the programme?

Participants will undergo a customised CAP for a period of six (6) months to prepare them for the SC Licensing Examinations (Module 12 and 19). Participants will be funded for the examination up to a maximum of three (3) attempts for each module. At the same time, participants will also be given performance coaching by identified coaches to continuously improve participants' performance in the examination.

Participants who have become a CMSRL holder will undergo the CPE programmes, fully funded for two (2) full-day CPE courses.

5

### Am I required to commit to an official contract / agreement?

Yes. A letter of offer will be prepared and participants are required to enter into agreement with Yayasan Peneraju.

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### Is there a bond that I will need to serve upon the commencement of, and after completing, the programme?

During the programme, participants are required to remain in a related industry profession in Corporate Finance and/or Investment Advice within Malaysia.

After completing the CCOFIA programme i.e. upon getting licensed, participants must remain employed in an organisation, government-linked company (GLC), public or private company registered in Malaysia for a minimum period of two (2) years.

# APPLICATION & SELECTION



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## I am a fresh graduate. Am I eligible to apply for this programme?

Yes, you are eligible if you fulfil the programme requirements and currently employed in a CMSL company and undertaking Corporate Finance or Investment Advice regulated activities.

You may refer to [www.sc.com.my](http://www.sc.com.my) for the list of CMSL companies.

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## How will I know if my application is successful?

We will notify all applicants on the status of their applications via email and/or phone. Applicants who have made it to the next stage will be required to attend our Assessment Centre to undergo the selection process.

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## What if I resign from my current organisation in the midst of the programme?

There are no penalties for participants who leave their current employer during the programme, provided that the new organisation they join is an intermediary licensed by the SC. Participants are required to inform Yayasan Peneraju with regard to any changes in their employment status and organisation, and remain in an industry related to Corporate Finance and/or Investment Advice in order to continue with the CCOFIA programme.

# OTHER INFORMATION



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## I have completed one of the modules prior to this (either Module 12 or Module 19). Am I still eligible to enrol for this programme?

Yes, you may still enrol for this programme.

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## Am I allowed to switch from Corporate Finance to Investment Advice (or vice versa) during the programme?

Yes, you are allowed to switch between the two, provided that you are still, or will be, working for a CMSL company.




For more information, please visit:

 [www.yayasanpeneraju.com.my](http://www.yayasanpeneraju.com.my)

 [www.sidc.com.my](http://www.sidc.com.my)

CCOFIA Programme Secretariat Helpline

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 : 03 6204 8298

 : [sulhik@sidc.com.my](mailto:sulhik@sidc.com.my) (Sulhi Khalid)