



INDUSTRY TRANSFORMATION INITIATIVE



REGISTRATION FORM

- Local (KL and Selangor): RM180 per participant • Outstation (other states including East Malaysia): RM220 per participant
Please register me for:

Please tick (✓)	ITI Code	Course Title	Date	Venue	Fee (RM)
	EQ01	Economics and Capital Markets I: Forces Shaping Global Capital Markets			
	EQ02	Essential of Fundamental Analytics I: Analysing Company Performance			
	EQ03	Corporate Strategic Analytics I: Essentials of Corporate Proposal Analysis			
	EQ04	Corporate Governance and Ethics: Strengthening Professionalism Through Ethics			
	EQ05	Economics and Capital Markets II : Macroeconomic Analytics - Clues to the Future			
	EQ06	Essentials of Fundamental Analytics II : Creating a Framework for Sector Analysis			
	EQ07	Corporate Strategic Analytics II : Value Creation Strategies & Take-over Analysis			
	DA01	Structured Products and Hybrids I : Framework and Concepts			
	DA02	Options and Futures I : Principles and Valuations			
	DA03	Risk Management :Analysing Risks in Derivatives Trading			
	DA04	Structured Products and Hybrids II : Alternative Investment Instruments			
	DA05	Options and Futures II : Trading & Hedging Strategies			
	DE01	Debt Capital Market : Understanding the Bond Market			
	DE02	Debt Capital Market : Mechanics of Bond Issuance and Trading			
	DE03	Debt Capital Market : The Credit Risk Assessment			
	DE04	Debt Capital Market : Bond Analytics			
	DE05	Debt Capital Market : Use of Derivatives in Bond Investment			
	FP01	Financial Planning : Putting Theory into Practice			
	FP02	Financial Planning : The Practice of Wealth Management			
	ICM01	Islamic Capital Market : Financial Philosophy & Jurisprudence			
	ICM02	Islamic Capital Market : Equity Products and Innovation			
	ICM03	Islamic Capital Market : Sukuk and Structured Products			
	ICM04	Islamic Capital Market : Fundamentals of Structuring ICM Products			
	PM01	Portfolio Management : Designing in Equity Strategy			
	PM02	Portfolio Management : In Pursuit of Performance and Returns			

REGISTRATION DETAILS (Please photocopy this form for multiple registrations)			
Name (as in NRIC)			NRIC
Email			
CMSRL No.			License Expiry Date
Membership No. (MIRA)	Corporate Members(CM)		Associate Members (AM)
Company Name			Designation
Address			
Contact Person			Designation
Tel No			Fax No
Email			
Date of Application			
Contact Person Signature			

PAYMENT DETAILS (Please tick (✓) or fill in where applicable)	
MODE OF PAYMENT	AMOUNT (RM)
<input type="checkbox"/> Cash <input type="checkbox"/> Bank Draft No: _____	
<input type="checkbox"/> Credit Card : <input type="checkbox"/> Visa <input type="checkbox"/> Mastercard <input type="checkbox"/> Others: _____	
<input type="checkbox"/> Cheque No : _____ Bank : _____	
<input type="checkbox"/> Letter of Undertaking – Co. Name: _____	

I hereby declare that I have given my consent to the processing of my personal data in accordance with the Notice under the Personal Data Protection Act 2010 and understood the SIDC programme Terms & Conditions 2014 and agree to abide by them.

Participant Signature _____

**Terms & Conditions****1. REGISTRATION**

- Registration is on a first-come-first-served basis.
- All sections in the registration form are mandatory. Participants or company representatives are required to fill in all the details accordingly.
- Completed registration forms must be submitted with the full payment to :

SIDC Training & Investor Education

No. 3, Persiaran Bukit Kiara, Bukit Kiara, 50490 Kuala Lumpur

Tel: Sharifuddin +603 6204 8644 / Saadon +603 6204 8665 /

Shahrul +603 6204 8625 / Jalil +603 6204 8692

Fax: +603 6201 5811 / 8390 Email : sidc@sidc.com.my

**** Incomplete forms will not be processed**

3. COURSE FEE AND PAYMENT

- Local courses (KL and Selangor): RM180 per participant
- Outstation courses (other states including East Malaysia): RM220 per participant
- Payment is accepted through the following methods:
 - Cash and credit card: Only accepted at the SIDC Shop
 - Cheque, bank draft or money order must be made payable to:
SECURITIES INDUSTRY DEVELOPMENT CORPORATION
**** Post-dated cheques are not accepted.**
- Walk-in registrations with immediate full payment by cash or cheque are accepted

 Payment to SIDC Account

Account Name : Securities Industry Development Corporation

Bank : Malayan Banking Berhad

Account No : 5143 2943 0601

Swift Code : MBBEMYKL

**** Please email the payment advice to SIDC at sidc@sidc.com.my or fax it to +603-6201 5811 for payment verification**

3. CANCELLATION AND DEFERMENT OF REGISTRATION

- Cancellations must be submitted in writing to SIDC and are subject to the following policy:

Written notice received within	Refund amount
Two weeks or more prior to seminar	50% refund; a complete set of course materials will be provided
Less than 2 weeks prior to seminar	Full fee will be applicable with no refund; a complete set of course materials will be provided

- Deferments are not allowed; please refer to the cancellation policy stated above for deferments.

5. ABSENTEEISM

- Due to medical reason**
Participant is allowed to register for another course (subject to seat availability) at no extra charge, provided the original or certified true copy of the medical certificate is forwarded to SIDC within 3 working days of the date of the course. Otherwise, the fee will be forfeited. No replacement of participants is allowed.
- Due to death of an immediate family member (spouse, child, parent, parent-in-law, grandparent, brother, sister only) occurring not more than 7 days prior to the course**
Participant is allowed to register for another course (subject to seat availability) at no extra charge, provided a certified true copy of the death certificate and a written notice from the candidate indicating the relationship between the candidate and the deceased are submitted to SIDC within 7 working days of the date of the course.
- In both cases, participants must submit a new ITI Registration Form and a Letter of Undertaking on company letterhead (for company-sponsored participants) together with the medical certificate or death certificate.

6. RESIGNATION (FOR PARTICIPANTS SPONSORED BY COMPANY)

- The company must provide a written notice to SIDC at least 2 weeks prior to the course date. The refund amount will follow the refund policy for cancellation above.
- The company is allowed to replace the registration with another participant, by submitting a new ITI Registration Form to SIDC.

NOTICE UNDER THE PERSONAL DATA PROTECTION ACT 2010

The Personal Data Protection Act 2010 (hereinafter referred to as the “PDPA”), which regulates the processing of personal data in commercial transactions, applies to the Securities Industry Development Corporation (hereinafter referred to as “SIDC”, “our”, “us” or “we”). For the purpose of this written notice, the terms “personal data” and “processing” shall have the meaning prescribed in the PDPA.

1. This written notice serves to inform you that your personal data is being processed by or on behalf of the SIDC.
2. The personal data processed by us may include your name, national identity card no. (NRIC no.), contact number, address, email address, designation, company name, Capital Markets Services Representative’s Licence no., qualifications and all other details provided by you in the documents as prescribed in paragraph 4 of this notice.
3. We are processing your personal data, including any additional information you may subsequently provide, for the following purposes (“Purposes”):
 - (a) Administer and conduct SIDC training programme and event;
 - (b) Perform all functions related to our appointment of you as our service provider.
4. Your personal data is and will be collected from you and/or from the information you have provided on the website, your emails, all forms associated with training programmes and events including but not limited to the programme registration forms, all forms associated with exams including but not limited to the exam registration forms.
5. You may access and request for correction of your personal data and to contact us with any enquiries or complaints in respect of your personal data as follows:

Mailing address:	Securities Industry Development Corporation (SIDC) No. 3, Persiaran Bukit Kiara Bukit Kiara 50490 Kuala Lumpur
E-mail address:	pdpa@sidc.com.my
Phone Number:	603-6204 8529
Fax Number:	603-6201 5112
Designation of contact person:	Manager, Customer Care

In accordance with the PDPA:

- (a) We may charge a prescribed fee for processing your request for access or correction; and
 - (b) We may refuse to comply with your request for access or correction to your personal data and if we refuse to comply with such request, we will inform you of our refusal and reason for our refusal.
6. Your personal data may be disclosed to parties such as but not limited to banks, auditors, governmental departments and/or agencies, regulatory and/or statutory bodies, business partners, service providers and any such third party requested or authorised by you for any of the above Purposes or any other purpose for which your personal data was to be disclosed at the time of its collection or any other purpose directly related to any of the above Purposes.
7. If you fail to supply to us the above personal data, we may not be able to process your personal data for any of the above Purposes.
8. Your personal data may be transferred to a place outside Malaysia.
9. You are responsible for ensuring that the personal data you provide us is accurate, complete and not misleading and that such personal data is kept up to date.
10. We may request your assistance to procure the consent of third parties whose personal data is provided by you to us and you agree to use your best endeavours to do so.
11. In the event of any inconsistency between the English version and the Bahasa Malaysia version of this notice, the English version shall prevail over the Bahasa Malaysia version.

NOTIS DI BAWAH AKTA PERLINDUNGAN DATA PERIBADI 2010

Akta Perlindungan Data Peribadi 2010 (selepas ini disebut sebagai “PDPA”) yang mengawal selia pemprosesan data peribadi untuk tujuan transaksi komersial adalah terpakai terhadap Securities Industry Development Corporation (selepas ini disebut sebagai “SIDC”, “kami”, atau “kita”). Dalam notis bertulis ini, terma-terma “data peribadi” dan “pemprosesan” mempunyai maksud seperti yang ditakrif dalam PDPA.

1. Notis bertulis ini bertujuan untuk memaklumkan kepada anda bahawa data peribadi anda sedang diproses oleh atau bagi pihak SIDC.
2. Data peribadi yang diproses oleh pihak kami termasuk nama, nombor kad pengenalan (No.KP), nombor telefon, alamat, alamat e-mel, jawatan, nama syarikat, nombor Lesen Wakil Peniaga Pasaran Modal, kelayakan dan butiran-butiran yang lain yang anda telah berikan kepada kami yang terkandung di dalam dokumen dokumen seperti yang ditetapkan dalam perenggan 4 notis ini.
3. Kami sedang memproses data peribadi anda termasuk apa-apa maklumat tambahan yang mungkin anda berikan kemudian untuk tujuan-tujuan berikut (“Tujuan-Tujuan”):
 - (a) Menyelaras dan merancang program-program dan acara-acara latihan;
 - (b) Melaksanakan semua fungsi berkaitan dengan pelantikan anda sebagai pembekal perkhidmatan kami.
4. Data peribadi anda akan dan telah dikumpulkan daripada anda dan/atau maklumat yang anda akan/telah berikan kepada kami di laman web, e-mel anda, dan semua borang-borang yang berkaitan dengan program-program latihan dan acara termasuk tetapi tidak terhad kepada borang pendaftaran program, semua borang yang berkaitan dengan peperiksaan termasuk tetapi tidak terhad kepada borang pendaftaran peperiksaan.
5. Anda boleh mengakses dan memohon untuk mengemaskini atau membuat pembedulan data peribadi anda serta menghubungi kami untuk sebarang pertanyaan atau aduan berkenaan dengan data peribadi anda seperti berikut:

Alamat surat-menyurat:	Securities Industry Development Corporation (SIDC) No. 3, Persiaran Bukit Kiara Bukit Kiara 50490 Kuala Lumpur
Alamat e-mel:	pdpa@sidc.com.my
Nombor telefon:	603-6204 8529
Nombor faks:	603-6201 5112
Jawatan pegawai yang berkenaan:	Pengurus, Khidmat Pelanggan

Selaras dengan PDPA:

- (a) Kami berhak untuk mengenakan bayaran fi yang ditetapkan untuk pemprosesan permintaan anda untuk mengakses atau membuat pembedulan; dan
 - (b) Kami berhak untuk tidak mematuhi permintaan anda untuk mengakses atau untuk membuat pembedulan ke atas data peribadi anda dan sekiranya kami enggan mematuhi permintaan tersebut, kami akan memaklumkan kepada anda tentang keengganan kami berserta alasan kami.
6. Data peribadi anda mungkin dizahirkan kepada pihak seperti tetapi tidak terhad kepada bank, juruaudit, jabatan dan/atau agensi kerajaan, badan-badan pengawalseliaan dan/atau berkanun, rakan kongsi, pembekal perkhidmatan dan mana-mana pihak ketiga seperti yang diminta atau dibenarkan oleh anda untuk mana-mana Tujuan-Tujuan yang dinyatakan di atas atau untuk tujuan lain di mana maklumat peribadi anda telah dizahirkan pada masa pengumpulannya atau untuk tujuan lain yang mempunyai hubungan secara langsung dengan mana-mana Tujuan-Tujuan yang dinyatakan di atas.
 7. Sekiranya anda gagal untuk memberikan kepada kami data peribadi anda seperti yang dinyatakan di atas, maka kami mungkin tidak dapat memproses data peribadi anda untuk

Tujuan-Tujuan yang dinyatakan.

8. Data peribadi anda mungkin dipindahkan ke lokasi-lokasi di luar Malaysia.
9. Anda bertanggungjawab untuk memastikan bahawa data peribadi yang anda berikan kepada kami adalah tepat, lengkap, tidak mengelirukan dan terkini.
10. Kami boleh meminta kerjasama anda untuk mendapatkan kebenaran pihak-pihak ketiga yang anda telah berikan data peribadi mereka kepada kami dan anda bersetuju untuk berusaha sedaya upaya untuk membuat demikian.
11. Sekiranya terdapat sebarang ketidakseragaman di antara versi Bahasa Inggeris dan Bahasa Malaysia ke atas Notis ini, maka versi Bahasa Inggeris akan digunakan.