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CERTIFIED CAPITAL MARKET PROFESSIONAL: SUSTAINABLE AND RESPONSIBLE INVESTMENT 1

ASSESSMENT OUTLINE

**For January to June 2025 Assessments
(Issued in November 2024)**

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(This document consists of 5 pages including the cover page)

CERTIFIED CAPITAL MARKET PROFESSIONAL - SUSTAINABLE AND RESPONSIBLE INVESTMENT 1 ASSESSMENT OUTLINE

The Certified Capital Market Professional - Sustainable and Responsible Investment (CCMP-SRI1) assessment is designed to test candidates' knowledge and skills on sustainability, environmental, social and governance (ESG), sustainable and responsible investment (SRI) in Malaysia.

Candidates are expected to possess good knowledge and understanding of the subject matter provided in this outline and the specified references. Candidates are required to complete the CCMP - SRI1 modules (eLearning Modules, Workshops, and Collaborative Learning) prior to sitting for the summative assessment. It is estimated that the CCMP - SRI1 will require a minimum of 140 hours of self-study time. Candidates may need less or more depending on their education background and work experience and will be given six (6) months access to the eLearning modules and workshop materials prior to sitting for the assessment.

Candidates are expected to update themselves with the latest developments in this assessment as all questions will be continuously updated to reflect these changes.

Candidates are required to comply with the registration terms and conditions of the SIDC on the conduct of the assessment. Severe penalties will be taken against candidates for any misconduct during the assessment.

ASSESSMENT STRUCTURE

Details of this assessment are as follows:

Types of Questions	Multiple Choice Questions
Number of Questions	60
Passing Mark	60%
Time Allocated	90 minutes
References /Text	CCMP - SRI1 eLearning Modules and 6 Workshop Materials

REFERENCES

The reference materials for this assessment can be obtained via SIDC's Learning Management System (LMS).

ASSESSMENT OBJECTIVES

For the Certified Capital Market Professional - Sustainable and Responsible 1 (CCMP-SRI1) assessment, candidates are expected to be able to:

- identify key sustainability concepts, principles, practices, regulatory requirements, common standards and best practice
- apply SRI concepts, principles and practices to structure capital market products that support economic, environmental, social and governance aspirations
- assess capital market products on their alignment with sustainability principles, objectives, taxonomy and standards based on disclosed information and data
- apply ESG analysis and integration techniques across various industry sectors for portfolio construction and investment making decisions
- explain the role and impact of sustainability taxonomies to the global developments and the application at organisation level
- apply tools and techniques for SRI Integration into the portfolio management process for various asset classes

ASSESSMENT SYLLABUS

The syllabus for this assessment is divided into 2 sections and the maximum composition of questions from each section is as follows:-

Section	Composition of Questions (Maximum)	Number of Questions (Maximum)
Section 1	70%	42
Section 2	50%	30

Details of the syllabus are as below:

SECTION 1	
Module 1	Overview of Sustainability, ESG <ul style="list-style-type: none"> • Key Reasons for the Widespread Adoption of Sustainability • Importance and Drivers of Corporate Sustainability • Definition of Corporate Sustainability and ESG • Role and Importance of Financial Markets and Players • Definition and Importance of Sustainable and Responsible Investments (SRI)
Module 2	Global and Local Sustainability Landscape and Adoption <ul style="list-style-type: none"> • Global and Local Developments in Sustainability • Global and Local Developments in SRI • Key Concepts in the Environmental Pillar • Key Concepts in the Social Pillar • Key Concepts in the Governance Pillar • Corporate Sustainability Adoption Methodology
Module 3	Business Levers and Concepts in Sustainability <ul style="list-style-type: none"> • Business Strategies Ranging from Threat to Opportunity • Lever 1: Reducing Risks • Lever 2: Maximising Profits • Lever 3: Unlocking Growth • Adopting Sustainability in Supply Chains • Overview of Carbon Credits and Trading
Module 4	Global and Local Sustainability Regulations and Guidance <ul style="list-style-type: none"> • Overview of Global Regulations • Malaysian Regulations • Disclosure Regulations and Guidance from Securities Commission Malaysia • Disclosure Regulations and Guidance from Bank Negara Malaysia • Disclosure Regulations and Guidance from Bursa Malaysia
Module 5	ESG Measurement and Reporting <ul style="list-style-type: none"> • Importance of ESG Reporting • Benefits and Challenges of ESG Reporting • ESG Data Management and Technology • Selected ESG Reporting Frameworks • Selected ESG Evaluation Frameworks • Selected Environmental Frameworks • Selected Social Frameworks
Module 6	ESG Risk Management Importance of ESG Risk Management

	<ul style="list-style-type: none"> • ESG Risk Management Global Guidance • ESG Risk Management in ISSB • ESG Risk Management in FTSE4Good • ESG Risk Management in UNPRI • ESG Risk Management Framework • Overview of Greenwashing • Greenwashing Illustrations
Module 7	Overview of SRI Taxonomies Objectives of Sustainability Taxonomies <ul style="list-style-type: none"> • Importance of a Unified Taxonomy • EU Taxonomy • ASEAN Taxonomy • Securities Commission Malaysia's SRI Taxonomy (Environmental, Social, Sustainability Components) • Bank Negara Malaysia's Climate Change Principles-based Taxonomy • Application of an SRI taxonomy
Module 8	SRI and the Malaysian Capital Market Overview of SRI Markets <ul style="list-style-type: none"> • Overview of the Islamic Capital Market • Overview of Malaysian Capital Market • Capital Market Players and Roles • ESG Investment Approaches • Types of Sustainable Investments • Structuring of SRI Products • ESG in Multi-Asset Portfolio • Practical ESG Considerations in a Portfolio

SECTION 2

Workshop 1	Insights into the Compliance Role and Essential Skillsets <ul style="list-style-type: none"> • Introduction to ESG in Investing • Introduction to Environmental, Social, Governance Factors & Concepts • Corporate Pressures & Stakeholder Expectations • ESG Risks • ESG-Management at the Organisational Level • ESG Reporting and Disclosure • Future of ESG Investing
Workshop 2	Integrating Sustainability - Part 2 (ESG Investing: From Measurement to Management) <ul style="list-style-type: none"> • Introduction: Insights from the Donut • Step 1: Set Strategy • Step 2: Analyse, Value and Integrate • Step 3: Construct Portfolio • Step 4: Monitor and Feedback • Managing ESG risks and Stewardship
Workshop 3	Developments in Sustainability Practices and Reporting - Disclosures and Assessment <ul style="list-style-type: none"> • Introduction to Sustainability in Business • Global and Industry Trends

	<ul style="list-style-type: none"> • Sustainability Reporting and Disclosures • Sustainability Programme Implementation (Step-by-step) • Effective Communication and Stakeholder Engagement
Workshop 4	Improving SRI Decision Making - The Quality of ESG Data <ul style="list-style-type: none"> • Introduction to ESG Data in SRI • Challenges in ESG Data Quality • Techniques for ESG Data Analysis • Improving SRI Decision Making with Quality ESG Data • Navigating ESG Reporting and Standards
Workshop 5	Sustainability Taxonomies: Developments Across the Globe <ul style="list-style-type: none"> • Introduction to Sustainability Taxonomies • Global and National Taxonomies • Market-Based Taxonomies • Applications of SC Principles-Based SRI Taxonomy • Future Trends and Developments
Workshop 6	Aligning and Structuring SRI - Requirements, Methodologies, and Standards <ul style="list-style-type: none"> • Introduction to Sustainable and Responsible Investment • Regulatory Environment and Requirements • SRI Methodologies and Portfolio Integration • Impact Assessment and Reporting