10
CPE POINTS

WEBINAR

HRD CORP REGISTERED COURSE
PROGRAMME NO: 10001382963

MyCoID:765264K

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ASSET AND FUNDS MANAGEMENT PROGRAMME

Learning Hours: 2 Days

Fees: MYR 1,800
(fee is not inclusive of SST)

Mode: Webinar

Aligned with **SCLE M10**

12-13 APRIL 2025

9.00AM-6.00PM

PROGRAMME OVERVIEW

Asset and Funds Management is a 2-day programme designed to provide insights into fundamental aspects of investment such as fund management, financial planning, portfolio management, asset classes and allocation, portfolio theory, risk and return, investment strategies and performance measurement.

PROGRAMME OBJECTIVE

This programme is designed as a refresher course for CMSRLs or ERPs who would like to refresh themselves in Asset and Funds Management. Apart from this, the programme also serves to support those preparing to sit for the Securities Commission Licensing Examination (SCLE) Module 10 on Asset and Funds Management. It's highly recommended that participants put in a minimum of 120 self-study hours using study aids such as SCLE Module10 e-guide, practice set questions, reading list and latest market updates for maximum benefit and reinforced learning.

LEARNING OUTCOMES

By the end of this programme, participants will be able to:

- recognise the range of investment concepts which are critical to any fund manager
- identify the financial planning approach necessary to meet client objectives
- explain the portfolio management process used by institutional investors
- identify major asset classes and their characteristics
- explain the asset allocation decisions
- describe the basic portfolio theory principles
- analyse the strategies available to manage equities portfolios, debt portfolios, derivatives, alternative investments, portfolios of international assets and Islamic investments
- define the concept of risk management and the trade-off between risk and return
- explain the principles of performance measurement and evaluation of fund managers
- discuss the psychology of investing to improve investment decision-making

PROGRAMME METHODOLOGY

This programme will be delivered using effective learning methods including presentations, discussions and Q&A.

PROGRAMME OUTLINE

DAY 1

9:00 am — The Fund Management Industry in Malaysia
- Overview of the global fund management industry
- Overview of the investment process
- The Malaysian fund management industry

The Investment Setting
- What is an investment?
- The rational investor
- Measures of return
- Measures of risk
- Relationship between risk and return
- Factors influencing the required rate of return

10:30 am — Break

10.45 am — Life-cycle Investing and Investment Structure
- Life-cycle investing
- Financing planning process
- Investment Policy Statement (IPS)
- Asset class and funds selection
- Types of investment styles

Asset Allocation
- Asset allocation
- Major asset classes and their historical return profile
- Asset allocation in the investment management process
- Dynamic asset allocation strategies
- Security selection process and investment management strategies
- Portfolio rebalancing

12.30 pm — Lunch Break

2:00 pm — Modern Portfolio Theory (MPT)
- Risk aversion and utility
- Portfolio risks and returns
- Capital Asset Pricing Model (CAPM)
- Arbitrage Pricing Theory (APT) and Multi-factor Models
- Efficient Market Hypothesis (EMH)

3:30 pm — Break

3:45 pm — Managing an Equity Portfolio
- Role of equity investment in a portfolio
- Risk and return
- Portfolio construction
- Performance benchmark and evaluation
- Relationship to other asset classes

Managing a Fixed Income Portfolio
- Role of fixed income in a portfolio
- Traditional investors and investments in fixed income
- Historical return of the asset class
- Fixed income fundamentals
- Fixed income portfolio management issues
- Performance benchmark and evaluation
- Relationship to other asset classes
- Asset-Backed Securities (ABS)

6:00 pm — End of Day 1

DAY 2

9:00 am — Derivatives in Portfolio Management
- What are derivatives?
- Exchange-traded Derivatives
- Over-the-Counter (OTC) Derivatives
- Use of derivatives in portfolio management
- Risk of using derivatives

10:30 am — Break

10:40 am — Managing Alternative Investments
- Real estate investments
- Collective investment schemes/unit trusts
- Private equity investments
- Non-traditional asset: Infrastructure and development capital
- Special Purpose Acquisition Company (SPAC)
- Hedge Funds
- Gold
- Base Metals
- Commodities
- Art
- Business Trust

Managing International Investments
- Definition and characteristics
- Why invest overseas?
- Risks associated with international investments
- Factors influencing returns
- Investment strategies
- Currency management
- Performance benchmark and evaluation
- Relationship to other asset classes

12.30 pm — Lunch Break

2:00 pm — Understanding Islamic Investments
- Islamic investments: Concepts and contracts
- Islamic capital markets: Products and services
- Malaysian Islamic capital markets
- International Islamic capital markets
- Guidelines pertaining to Islamic capital markets
- Investment asset classes
- Specific risks pertaining to Islamic investments

Portfolio Risk Management
- What is portfolio risk management?
- Value at Risk (VaR)
- Risk budgeting in capital allocation
- Specific risk in portfolios

3:30 pm — Break

3:45 pm — Performance Measurement and Presentation of Returns
- Portfolio performance measurement tools
- Performance presentation standards

Investment Psychology
- The psychology of investing
- Psychological traits and errors
- Irrational exuberance and market excesses
- Investment professional approach to behavioural finance

6:00 pm — End of Programme

SPEAKER

WONG LOKE LIM

Wong Loke Lim has almost thirty years of banking and finance-related experience. Currently, he is a director of several private companies which he founded, including i-Biz Concept Sdn Bhd, a financial training and management resources provider. He is also a director of a Bursa Malaysia-listed company and sits on the Board of Governors of the Financial Planning Association of Malaysia, where he was the Deputy President from 2011-2013. Previously, he was the Chief Executive/Licensed Representative of a fund management company, a general manager at KAF Investment Bank Berhad and the Group Chief Internal Auditor of a KLSE (now Bursa Malaysia)-listed company. He speaks regularly on topics relating to capital market, treasury, accounting and wealth management, and conducted training programmes for capital market professionals, private bankers and wealth managers in various financial centres in the Asia-Pacific region. He is a chartered accountant, a fellow of the Association of Chartered Certified Accountants and a Certified Financial Planner.

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** The SIDC reserves the right to amend the programme as deemed appropriate as without prior notice.*

For enquiries on registration, please contact: **+603 6204 8439 / 8274** I Register today at **www.sidc.com.my**

Get in touch and speak to our friendly team:

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